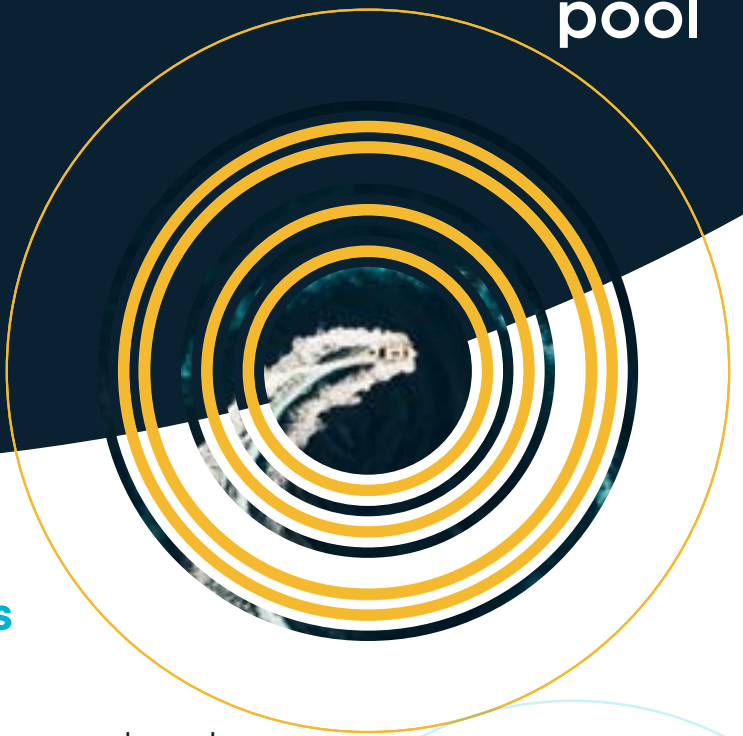


# WORKFLOW

Operations management for optimum performance.



## Take control of your business with WORKFLOW.

Enhanced operational effectiveness in your hands.

### Automate and streamline

WORKFLOW is a fully automated solution that gives you scalability and efficiency at a global level. Workflow integrates with your core systems giving you oversight and control.

Management made easy for:

- Client lifecycle
- Operations
- Project management
- Trade order cycle
- MIS/KPI automation
- Document control

### WORKFLOW beneficiaries include:

- Board members
- Outsourcing committees
- Chief operating office
- Chief risk office
- Chief technology office
- Outsourced groups
- Team leads
- Compliance/risk

### Why choose WORKFLOW

|                             |                        |                     |
|-----------------------------|------------------------|---------------------|
| External system integration | Task allocation        | Document management |
| File capture                | Dashboard              | KPI/MIS             |
| Client lifecycle            | Trade order management | Process monitoring  |

# Your needs. Our solution.

Integrate seamlessly with your core systems to automate your workflows and processes.



## Centrally controlled operating model

**Challenge:** Global teams working collaboratively face hurdles with transparency and organisation.

**WORKFLOW solution:** WORKFLOW's customisable rule engine lends itself to the creation of bespoke processes and implement control. Specific projects can be streamlined and taken to closure. Your SLAs and OLAs can be digitised and embedded within the system. Tasks can be controlled and allocated centrally, allowing you to operate at a global level. Full maker/checker functionality with audit trail embeds a solid framework of operation. MIS and KPI reporting driven from SLA/OLA data mean easy consumption for review and improvement.

### User benefit:

Transparency and control driven from the system enable scalability and efficiency at an enterprise level.



## Client lifecycle management

**Challenge:** Management of the entire client lifecycle requires an enterprise approach but is not easily accomplished when disparate systems are involved.

**WORKFLOW solution:** WORKFLOW Integrates with your underlying systems and connects with online platforms to manage a seamless exchange of data. A fully customisable dashboard allows simple and intuitive end-user consumption. The onboarding, due diligence and offboarding processes are fully managed, along with fund setup, valuation and NAV processing, trade processing, payments, and reporting.

### User benefit:

Integrated systems provide automation, reducing error and mitigating risk.



## Business activity monitoring

**Challenge:** Time sensitive, automated processes require real-time monitoring applied at scale – easier said than done.

**WORKFLOW solution:** WORKFLOW's flexible notification framework for real-time events, changes/updates, processing steps and scheduled tasks means comfort for your business. Alerts for suspicious activity, change of circumstances, anti-fraud and investor screening hits mean potential issues can be investigated and resolved before they become actual issues. A single dashboard view simplifies consumption. Communications, both internal and external are tracked centrally.

### User benefit:

Complete oversight of your business giving you the confidence that it is running at its best.



## Trade capture

**Challenge:** Trade capture from external sources brings complexities for automation and scale.

**WORKFLOW solution:** WORKFLOW Integrates with your investor services system allowing you to capture trades from various sources. Orders are managed through each stage with approval/rejection controls. Relevant documents are captured. The dashboard view provides transparency of events and status, along with the audit trail of activity.



## Document management

**Challenge:** The financial services industry relies on a significant level of document exchange which necessitates the need to manage these documents.

**WORKFLOW solution:** WORKFLOW automatically reads QR document bar codes. Documents are captured by email, fax, FTP, etc. Version control ensures you are working with the right iteration without losing the history. Splitting/merging of content provides optimal flexibility for your business.



## Get in touch

Discover how WORKFLOW can transform your business.

Contact: [sales@deep-pool.com](mailto:sales@deep-pool.com)

## About Deep Pool

Deep Pool's industry-leading investor servicing and compliance solutions are used by 11 of the world's top 20 alternative fund administrators. Our cutting-edge systems support offshore and onshore hedge funds, partnerships, private equity vehicles, pension schemes and retail funds, and have been solving clients' processing headaches for more than two decades.

Automate the processes most important to you. [www.deep-pool.com](http://www.deep-pool.com)

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